

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Lichtenhan, et al.

Appln. No.: 09 631,892

Series Code ↑

Serial No. ↑

Filed: August 4, 2000

Commissioner of Patents
Washington, D.C. 20231

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. P 0282005

M#

Client Ref

Appln. Title: PROCESS FOR THE FORMATION OF
POLYHEDRAL OLIGOMERIC
SILSESQUIOXANES

RECEIVED

JUL 24 2001

Sir:

PRELIMINARY AMENDMENT

Date: July 12, 2001

This is a preliminary amendment in the above-identified application and includes the herewith attachment of same date and subject which is incorporated herewith by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

- A. ☐ NOT made
B. ☐ Withdrawn
C. ☐ made herewith
D. ☒ made previously

For B & C
See **Required
Separate Paper**
(Pat-256)

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	126	**minus 20	106	x \$18/\$9 =	+ \$954	103/203
3. Independent Claims	8	***minus 7	1	x \$80/\$40 =	+ \$40	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)	add			+ \$270/\$135 =	+ \$0	104/204
5. Original due Date:	<input checked="" type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =				115/215
	(2 mos)	\$390/\$195 =	+ \$0			116/216
	(3 mos)	\$890/\$445 =				117/217
	(Usable only for ≤ 2mo.OA --- 4 mos)	\$1390/\$695=				118/218
	(Usable only for 30 day/1mo.OA --- 5 mos)	\$1890/\$945=				128/228
7. Enter any previous extension fee paid since above original due date and subtract	- \$0					
8. Extension Fee Attached	+ \$0					
9. If Terminal Disclaimer attached, add Rule 20(d) official fee	+ \$110/\$55 + \$0 148/248					
10. If IDS attached requires Official Fee under Rule 97 (c),	+ \$180 + \$0 126					
or if Rule 97(d) Request	+ \$180 + \$0 126					
11. After-Final Request Fee per rules 129(a) and 17(r)	+ \$710/355 + \$0 146/246					
12. No. of additional inventions for examination per Rule 129(b).....	x \$710/355 ea + \$0 149/249					
13. Request for Continued Examination (RCE)	+ \$710/355 + \$0 1179/1279					
14. Petition fee for	+ \$0					
15. TOTAL FEE =	\$994					

15.

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Please charge the fee due of \$994.00 to our Deposit Account. A duplicate copy of this sheet is enclosed

Our Deposit Account No. 03-3975)

(Our Order No. 038559 0282005

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

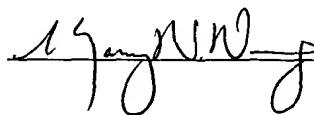
By Atty: Karry W. Wang

50 Fremont Street

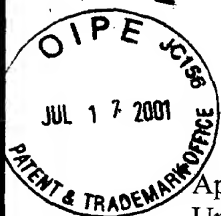
Reg. No. Limited Recognition
Under 37 CFR
\$10.9(b)

P. O. Box 7880
San Francisco, CA 94120-7880
Tel: (415) 983-1000
Atty/Sec: KWW/PDG

Sig:



Fax: (415) 983-1200
Tel: (415) 983-1280



038559/0282005

#5/A
7/25/01
NWIN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant: LICHTENHAN, et al.
Unknown

Examiner:

Serial No. 09/631,892
Unassigned

Art Unit:

Filed: August 4, 2000

RECEIVED

JUL 24 2001

Title: PROCESS FOR THE FORMATION OF
POLYHEDRAL OLIGOMERIC SILSESQUOXANES

TECH CENTER 1600/2900

* * * * *

PRELIMINARY AMENDMENTBOX NON-FEE AMENDMENT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, kindly amend the above-identified application as follows.

07/19/2001 TGEDAHU1 00000144 033975 09631892

01 FC:202 40.00 CH
02 FC:203 954.00 CH**IN THE SPECIFICATION**Page 2, line 28, change " $[(\text{RSiO}_{1.5})_m(\text{RSiO}_{1.5})_n]_{\Sigma\#}$ " to $[(\text{RSiO}_{1.5})_m(\text{R}'\text{SiO}_{1.5})_n]_{\Sigma\#--}$.

A1

Page 2, line 28, change " $[(\text{RSiO}_{1.5})_m(\text{RXSiO}_{1.0})_n]_{\Sigma\#}$ " to --

A2

 $[(\text{RSiO}_{1.5})_m(\text{R}'\text{SiO}_{1.5})_n(\text{RXSiO}_{1.0})_p]_{\Sigma\#--}$.Page 3, line 9, change " $[(\text{RSiO}_{1.5})_m(\text{RSiO}_{1.5})_n]_{\Sigma\#}$ " to $[(\text{RSiO}_{1.5})_m(\text{R}'\text{SiO}_{1.5})_n]_{\Sigma\#--}$.

A3

Page 3, line 10, change "heteroleptic" to --homoleptic-- and insert a new line --

A4

 $[(\text{RSiO}_{1.5})_m(\text{R}'\text{SiO}_{1.5})_n(\text{RXSiO}_{1.0})_p]_{\Sigma\#}$ for functionalized heteroleptic compositions-- after
"compositions".Page 3, line 11, change " $[(\text{XSiO}_{1.5})]_{\Sigma\#}$ " to $[(\text{XSiO}_{1.5})_n]_{\Sigma\#--}$.

A5

Page 5, line 5, change " $[(\text{RSiO}_{1.5})_m(\text{RSiO}_{1.5})_n]_{\Sigma\#}$ " to $[(\text{RSiO}_{1.5})_m(\text{R}'\text{SiO}_{1.5})_n]_{\Sigma\#--}$.

A6